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## UTILITY PATENT APPLICATION TRANSMITTAL

(for new non-provisional applications under 37 CFR § 1.53(b))

DUPLICATE 

Ally. Dkt. No: 5589-00807/cbm

**Inventor(s):** Shafer, et al.

**Title: BROAD SPECTRUM  
ULTRAVIOLET INSPECTION  
METHODS EMPLOYING  
CATADIOPTRIC IMAGING**

**CERTIFICATE OF EXPRESS MAIL**  
**UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EF39625962845  
DATE OF DEPOSIT: November 6, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

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<input checked="" type="checkbox"/> Continuing Application	<u>Continuation</u>	of prior application No.: 09/596,540
Inventor(s)	David R. Shafer, Yung-Ilo Chuang, Bin-Ming B. Tsai	Filed: June 16, 2000
Title:	BROAD SPECTRUM ULTRAVIOLET CATADIOPTRIC IMAGING SYSTEM	Examiner: A. Israel Group/Art Unit: 2878 Assignee: <u>KLA Instruments Corporation</u> Recorded at Reel <u>8124</u> , Frame <u>0449</u>
Power of Attorney in Prior Application:	Eric B. Meyertons Conley, Rose, & Tayon, P.C. P.O. Box 398 Austin, Texas 78767-0398	

## Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

Total Claims	20	-20=	0	x \$18.00=	
Independent Claims	5	-3 =	2	x \$80.00=	160.00
Multiple Dependent Claims			0	Fee:	
				Basic Fee:	710.00
				Sub-Total:	870.00
				Reduction by 50% for Small Entity:	
				Total:	870.00

- ☐ A credit card authorization form in the amount of \$\_\_\_\_\_ is enclosed.  
☒ The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to KLA-Tencor, Inc. Deposit Account No. 50-0257/5589-00807.

2. ☒ Specification

Enclosed are:

31 Total Pages, or1 Title Page; 21 pages of specification; 8 pages of claims; 1 page Abstract.3. ☒ Drawings☐ Enclosed are Informal Figures - on - sheets.☒ Enclosed are Formal Figures 1-8 on 5 sheets.4. ☒ Oath or Declaration☐ Newly executed☒ Enclosed is a copy of the Oath or Declaration from above-referenced prior application for David R. Shafer (see 37 C.F.R. § 1.63(d)) (6 pages).☒ Enclosed is a copy of the Oath or Declaration from above-referenced prior application for Yung-Ho Chuang and Bin-Ming B. Tsai (see 37 C.F.R. § 1.63(d)) (6 pages).5. ☐ This application is filed by fewer than all the inventors named in the prior application☐ Delete the following inventor(s) named in the prior non-provisional application: \_\_\_\_\_☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.6. ☒ The entire disclosure of the prior application is considered to be part of the accompanying application and is hereby incorporated by reference herein.7. ☐ Enclosed is a Microfiche Computer Program (Appendix).8. ☐ Enclosed is a Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary).☐ Computer Readable copy☐ Paper Copy (identical to computer copy)☐ Statement verifying identity of above copies9. ☒ Enclosed are Assignment Papers signed in prior application10. ☐ Copy of Notice of Recordation of Assignment from parent application evidencing assignment11. ☐ Information Disclosure Statement (IDS)☐ Enclosed are copies of IDS Forms 1449 from the above-referenced prior application.☐ The Commissioner is hereby specifically requested to make the Information Disclosure Statements, as well as any prior art of record in the prior application(s), of record in connection with this application.

## 12. Amendments

☐ A preliminary amendment is enclosed☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 C.F.R. § 116 in the prior application.☐ Cancel in this application original claim(s) \_\_\_\_\_ of the prior application before calculating the filing fee.☐ Amend the specification by inserting before the first line the sentence: --This is a continuation of copending application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, 199\_\_\_\_--13. ☒ Return Receipt Postcard

## 14. Small Entity Status

☐ A small entity statement is enclosed.☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.☐ Is no longer claimed.15. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)16. ☐ Petition under 37 C.F.R. § 136 for Extension of Time17. ☐ Other: \_\_\_\_\_18. ☐ Please note that this application is filed without an inventor(s) Declaration and filing fees. Pursuant to 37 C.F.R. § 1.53(b), Applicant requests the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail. Further, Applicant requests that the NOTICE OF MISSING PARTS-FILING DATE GRANTED pursuant to 37 C.F.R. § 1.53(f) be sent to the undersigned Applicants representative.

T0301-225001

Address all future correspondence to:

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Respectfully Submitted,



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Date: November 6, 2001

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